PATENT

Attorney Docket No. 5405-239CT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Williams Confirmation No.: 8271 Application Serial No.: 10/625,134 Group Art Unit: 1614 Filed: July 23, 2003 Examiner: Unknown

For: Use of Polymorphism of the Serotonin Transporter Gene Promoter as a Predictor of

Disease Risk

Date:

January 5, 2007

Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT **PURSUANT TO 37 C.F.R. § 1.97(b)**

Sir:

Attached is a list of documents on Form PTO-1449, together with a copy of any listed foreign patent document and/or non-patent literature. A copy of any listed U.S. patent and/or U.S. patent application publication is not provided herewith in accordance with the amendment by the U.S. Patent and Trademark Office to 37 C.F.R. § 1.98(a)(2)(ii) effective October 21, 2004. It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.56 and Section 609 of the MPEP.

This Information Disclosure is submitted in accordance with 37 C.F.R. § 1.97(b)(4), before the mailing of a first action after the filing of a Request for Continued Examination under 37 C.F.R. § 1.114. Therefore, no fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.

Respectfully submitted,

Mary L. Miller

Date of Deposit: January 5, 2007

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Substitute form 1449A/PTO	Complete if Known		
Substitute form 1449A/PTO	Application Number	10/625,134	
INFORMATION DISCLOSURE	Filing Date	July 23, 2003	
	First Named Inventor	Redford B. Williams, Jr.	
STATEMENT BY APPLICANT	Group Art Unit	1614	
(use as many dieets as necessary)	Examiner Name	J. Sitton	
Sheet of A1	Attorney Docket Number	5405-239CT	

			U.S. PATENTS	AND PATENT PUBLICATIONS		
Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited	Date of Publication of Cited Document	
		Number	Kind Code (if known)	— Document	MM-DD-YYYY	
	1.	US-5,418,162		Blakely et al.	5/23/1995	
		US-				
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FOREIGN PATENT DOCUMENTS							
Examiner	Cite	Foreign Patent Document		cument	Name of Patentee or Applicant of Cited	Date of Publication of	Translation
Initials*	No.	Office	Number	Kind Code (if known)	Document	Cited Document MM-DD-YYYY	
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T	Cian	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal,	Т
Examiner Initials*	Cite No.	serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Ľ
	2.	Coto et al. "5-Hydroxytryptamine 5HT <sub>2A</sub> receptor and 5-hydroxytryptamine transporter polymorphisms in acute myocardial infarction" <i>Clinical Science</i> 104:241-245 (2003)	
	3.	Fumeron et al. "Serotonin Transporter Gene Polymorphism and Myocardial Infarction" Circulation 105:2943-2945 (2002)	
	4.	Higley et al. "Low Central Nervous System Serotonergic Activity Is Traitlike and Correlates with Impulsive Behavior" Annals New York Academy of Sciences 836:36-56 (1997)	
	5.	Lesch et al. "Association of Anxiety-Related Traits with a Polymorphism in the Serotonin Transporter Gene Regulatory Region" <i>Science</i> 274:1527-1531 (1996)	
	6.	Lynch et al. "Does Low Socioeconomic Status Potentiate The Effects of Heightened Cardiovascular Responses to Stress on the Progression of Carotid Atherosclerosis?" <i>American Journal of Public Health</i> 88(3):389-394 (1998)	
	7.	Nordin et al. "Acid Monoamine Metabolites in the CSF of Healthy Controls Punctured Without Preceding Strict Bedrest: A Retrospective Study" J. Psychiat. Res. 30(2):127-133 (1996)	
	8.	Roy et al. "Acting Out Hostility in Normal Volunteers: Negative Correlation With Levels of 5HIAA in Cerebrospinal Fluid" Psychiatry Research 24:187-194 (1988)	
	9.	Smeraldi et al. "Polymorphism within the promoter of the serotonin transporter gene and antidepressant efficacy of fluvoxamine" <i>Molecular Psychiatry</i> 3(6):508-511 (1998)	
	10.	Williams et al. "Central Nervous System Serotonin Function and Cardiovascular Responses to Stress" Psychosomatic Medicine 63:300-305 (2001)	

Examiner Signature	Date Considered
Examiner Signature	Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.